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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

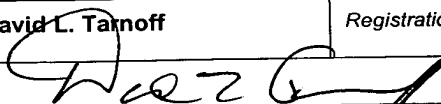
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))		Attorney Docket No. SN-US035127	
		First Inventor	Kouji UNO
		Title	CHARGING APPARATUS OF BICYCLE DYNAMO
		Express Mail Label No.	

22889 U.S. PTO
10/678176

100603

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>15</u>] <small>(preferred arrangement set forth below)</small> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3</u>]</p> <p>5. Oath or Declaration [Total Pages <u>1</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><p style="text-align: center;">ACCOMPANYING APPLICATIONS PARTS</p><p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p><p>10. <input checked="" type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p><p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p><p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p><p>13. <input type="checkbox"/> Preliminary Amendment</p><p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p><p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p><p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p><p>17. <input type="checkbox"/> Other: _____</p></div>		
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ / _____</p> <p>Prior application information: Examiner _____ Group / Art Unit: _____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
17. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number		22919 <small>(Insert Customer No. here)</small>	or <input type="checkbox"/> Correspondence address below
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Name (Print/Type)	David L. Tarnoff	Registration No. (Attorney/Agent)	32,383
Signature		Date	10-6-03

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FEE TRANSMITTAL FORM

Attorney Docket No.: **SN-US035127**

ASSISTANT COMMISSIONER OF PATENTS
BOX: PATENT APPLICATION
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **Kouji UNO**

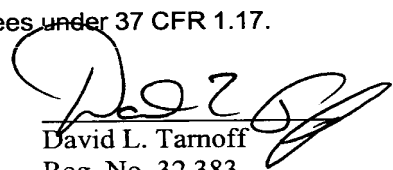
For: **CHARGING APPARATUS OF BICYCLE DYNAMO**

The filing fee for filing this patent application has been calculated as follows:

		(Col. 1)	(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR:		NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE					\$385.00	OR		\$770.00
TOTAL		19	- 20 =	x 09 =	\$		x 18 =	\$
INDEP		1	- 3 =	x 43 =	\$		x 86 =	\$
<input type="checkbox"/> MULTIPLE . DEPENDENT CLAIMS				+ 145 =	\$		+ 290 =	\$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2				TOTAL	\$		TOTAL	\$770.00

- ☒ A check is enclosed in the amount of \$ 810.00 to cover ☒ the above calculated filing fee and ☒ the \$40.00 Assignment recording fee.
- ☐ Please charge our Deposit Account No. **50-1836** in the amount of \$ _____ to cover ☐ the above calculated filing fee and ☐ the \$40.00 Assignment recording fee.
- ☐ Other:
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1836**. A duplicate copy of this sheet is attached.
- ☒ Any additional excess claim fees under 37 CFR 1.16.
- ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: 10-6-03


David L. Tarnoff
Reg. No. 32,383

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1233 Twentieth Street, NW, Suite 700
Washington, D.C. 20036
(202)-293-0444

PATENT

SN-US035127

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kouji UNO

Serial No.: New

Filed: Herewith

For: CHARGING APPARATUS OF
BICYCLE DYNAMO

Certificate Under 37 C.F.R. § 3.73(b)

Assistant Commissioner of Patents
Washington, DC 20231

Sir:

Shimano Inc., a Japanese corporation, hereby certifies that it is the assignee of the entire right, title and interest of the above-identified application by virtue of the chain of title from the inventor of the above-identified application to the current assignee as shown by the attached assignments which are being currently recorded herewith in the U.S. Patent and Trademark Office.

Chain of Title shown by the attached documents:

From: Kouji UNO
To: Shimano Inc.

The undersigned has reviewed the attached documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above. The undersigned is empowered to act on behalf of assignee.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

By:

Name: Kozo Katagawa

Title: Director

Patent and Trademark Department

Dated: Oct. 2, 2003

DLI/msm

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